



June 1, 2021

Honorable Nancy Skinner, Chair
Joint Legislative Budget Committee
Senate Budget and Fiscal Review Committee

Honorable Phil Ting, Chair
Assembly Budget Committee

Honorable Anthony Portantino, Chair
Senate Appropriations Committee

Honorable Lorena Gonzalez, Chair
Assembly Appropriations Committee

Section 28.00—Department of Aging

Pursuant to provisions of Section 28.00, 2020 Budget Act (Chapter 6, Statutes of 2020), the following report is respectfully submitted.

The Department of Finance has received the attached Section 28.00 application from the Department of Aging (Aging) requesting to increase federal fund expenditure authority in Item 4170-101-0890, Schedule (3) 3900—Supportive Services by \$1,563,000.

This expenditure authority increase is necessary to accept and expend one-time supplemental federal funding made available by a Notice of Award issued by the Administration for Community Living on April 22, 2021, pursuant to the Consolidated Appropriations Act of 2021 (Public Law No. 116-260) for the Aging & Disability Resource Connection (ADRC) program to improve COVID-19 vaccination access for older adults and disabled individuals. Local programs may use the funding for activities including:

- Informing individuals about the importance of receiving a vaccine.
- Helping individuals schedule a vaccine appointment and reminding them of the second vaccination appointment.
- Identifying individuals unable to independently travel to a vaccination site, and helping them arrange for transportation.
- Providing a companion or personal support for vaccine appointments.
- Providing assistive technology to increase vaccination access and address post-vaccination recovery needs.
- Collaborating with local health departments and other entities to increase vaccine access.

Finance concurs with Aging's request. This request meets the following criteria, as required in subdivision (b) of Section 28.00:

- The funds will be expended to support COVID-19 vaccination efforts and ADRCs consistent with state law.
- The funds are made available to the state under conditions permitting their use only for the specified purpose, and the additional expenditures proposed would apply to this specified funding purpose.
- Acceptance of the additional funding does not impose on the state any requirement to commit or expend new state funds for any program or purpose.
- The need exists to expend the additional funding during the current fiscal year to prevent vulnerable individuals from contracting COVID-19.

Given the health and safety concerns of the COVID-19 pandemic, Finance respectfully requests a waiver of the 30-day waiting period required by subdivision (e) of Section 28.00.

If you have any questions or need additional information regarding this matter, please call Tyler Woods, Principal Program Budget Analyst, at Tyler.Woods@dof.ca.gov.

KEELY MARTIN BOSLER

Director

By:



ERIKA LI

Chief Deputy Director

Attachment

cc: On following page

cc: Honorable Dr. Joaquin Arambula, Chair, Assembly Budget Subcommittee No. 1
Honorable Susan Talamantes Eggman, Chair, Senate Budget and Fiscal Review
Subcommittee No. 3
Honorable Jim Nielsen, Vice Chair, Senate Budget and Fiscal Review Committee
Honorable Vince Fong, Vice Chair, Assembly Budget Committee
Gabriel Petek, Legislative Analyst (3)
Joe Stephenshaw, Staff Director, Senate Budget and Fiscal Review Committee
Kirk Feely, Fiscal Director, Senate Republican Fiscal Office
Christopher W. Woods, Senate President pro Tempore's Office (2)
Christian Griffith, Chief Consultant, Assembly Budget Committee
Joseph Shinstock, Fiscal Director, Assembly Republican Caucus, Office of Policy
and Budget
Paul Dress, Caucus Co-Chief of Staff, Assembly Republican Leader's Office
Luigi Luciano, Legislative Director, Assembly Republican Leader's Office
Jason Sisney, Assembly Speaker's Office (2)
Mark McKenzie, Staff Director, Senate Appropriations Committee
Jay Dickenson, Chief Consultant, Assembly Appropriations Committee
Samar Muzaffar, Assistant Secretary, California Health and Human Services
Agency
Kim McCoy-Wade, Director, Department of Aging
Mark Beckley, Chief Deputy Director, Department of Aging
Thomas Cameron, Deputy Director, Administration, Department of Aging
Nicole Dopp, Chief Financial Officer, Department of Aging

Please report dollars in thousands.

DEPARTMENT California Department of Aging (CDA)	ITEM NO./ FISCAL YEAR 4170-101-0890 / 2020-21	NOTIFICATION LETTER TO LEGISLATURE: <input type="checkbox"/> 30-DAY NOTICE <input checked="" type="checkbox"/> REQUEST WAIVER OF 30-DAY NOTICE
---	---	--

PROGRAM TITLE PER GOVERNOR'S BUDGET:

3900 - Supportive Services

FUNDING (SPECIFY FUND)	CURRENTLY BUDGETED	REQUESTED CHANGE (+) OR (-)	TOTAL
Federal Funds (4170-101-0890)	\$73,429,000	\$1,563,000	\$74,992,000

(Check appropriate boxes)

- The funds will be expended for a purpose that is consistent with state law (explain use of funds in Part A below).
- The funds are made available to the state under conditions permitting their use only for a specified purpose, and the additional expenditure proposed under this section would apply to that specified funding purpose (as supported by explanation for the purpose of the funds).
- Acceptance of additional funding does not impose on the state any requirement to commit or expend new state funds for any program or purpose.
- The need exists to expend the additional funding during the current fiscal year (explain in Part B below).
- This application is provided to Finance within 45-days of official notice of receipt of funds.
- Explanation of delayed notification to Finance is attached (required if 45-day notification period is exceeded).
- A copy of the official notice of fund availability is attached to this application.
- One-time expenditure.
- Ongoing commitment from this source (if checked, explain under Program Proposal).
- Matching funds required (if checked, explain under Program Proposal).
- Expenditure either supplements or supplants an existing state-funded program (if checked, explain under Program Proposal).

PART A: For what purpose will the funds be expended?

This funding will provide local assistance resources to Aging & Disability Resource Connections (ADRCs) to improve COVID-19 vaccination access for older adults and people with disabilities.

PART B: Explain the need to expend the funds in the current fiscal year, including the consequence of waiting until budget year to expend the funds:

Older adults and people with disabilities face challenges accessing the vaccine to due to financial constraints, lack of transportation, and lack of information. It is urgent that CDA provide all available resources to older adults and people with disabilities as quickly as possible to avoid more state fatalities due to contraction of the virus.

PROGRAM PROPOSAL (Attach additional information as necessary)

TITLE:

ADRC COVID VACCINE ACCESS

STATUTORY AUTHORITY: (state, federal, as appropriate)

Federal

DESCRIPTION:

Local programs may use the funding for activities including:

- Disseminating credible information about vaccines.
- Helping individuals schedule a vaccine appointment and reminding them of a second vaccination appointment.
- Identifying individuals unable to independently travel to a vaccination site, and helping them arrange for transportation.
- Providing a companion or personal support for vaccine appointments.
- Collaborating with local health departments and other entities to increase vaccine access.

JUSTIFICATION:

The expenditure authority increase is necessary to accept and expend one-time only supplemental federal funding made available by the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (Public Law No. 116-260) to provide local assistance resources to Aging & Disability Resource Connections to improve COVID-19 vaccination access for older adults and people with disabilities.

SUBMITTED BY:

BUDGET OFFICER:

Nicole Dopp

DATE:

5-25-21

APPROVED BY DEPARTMENT OF FINANCE:

DATE SUBMITTED TO LEGISLATURE:

6/1/2021

DIRECTOR:

Ann McGowan Wake

DATE:

5/25/2021

PPBA:

DATE:



Recipient Information

1. Recipient Name

AGING, CALIFORNIA DEPARTMENT OF
1300 National Dr Ste 200
Sacramento, CA 95834-1992
9169284641DUP

2. Congressional District of Recipient
03

3. Payment System Identifier (ID)
1680301973A1

4. Employer Identification Number (EIN)
680301973

5. Data Universal Numbering System (DUNS)
949087076

6. Recipient's Unique Entity Identifier

7. Project Director or Principal Investigator

Denise Crandall
Denise.Crandall@Aging.Ca.Gov
916-419-7540

8. Authorized Official

Ms. Kim McCoy Wade
CDA Acting Director
kim.mccoy.wade@aging.ca.gov
916-419-7502

Federal Agency Information

CIP - Aging and Disability Resource Center/No Wrong
Door System COVID-19 Vaccine

9. Awarding Agency Contact Information

Mrs. Sherlonda L Blue
Grants Management Specialist
sherlonda.blue@acl.hhs.gov
202-795-7310

10. Program Official Contact Information

Mrs. Ami B Patel
Program Analyst
Ami.Patel@acl.hhs.gov
202-795-7376

Federal Award Information

11. Award Number

90NWC50019-01-00

12. Unique Federal Award Identification Number (FAIN)

90NWC50019

13. Statutory Authority

Title II of the Older Americans Act of 1965 and the Coronavirus Response and Relief Supplemental Appropriations Act, 2021.

14. Federal Award Project Title

ADRC/NWD COVID-19 Vaccine Access Supplemental Funding

15. Assistance Listing Number

93.048

16. Assistance Listing Program Title

Special Programs for the Aging Title IV and Title II Discretionary Projects

17. Award Action Type

New

18. Is the Award R&D?

No

Summary Federal Award Financial Information

19. Budget Period Start Date	04/01/2021	- End Date	09/30/2022
20. Total Amount of Federal Funds Obligated by this Action			\$1,562,836.00
20a. Direct Cost Amount			\$1,562,836.00
20b. Indirect Cost Amount			\$0.00
21. Authorized Carryover			\$0.00
22. Offset			\$0.00
23. Total Amount of Federal Funds Obligated this budget period			\$0.00
24. Total Approved Cost Sharing or Matching, where applicable			\$0.00
25. Total Federal and Non-Federal Approved this Budget Period			\$1,562,836.00
26. Project Period Start Date	04/01/2021	- End Date	09/30/2022
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period			Not Available

28. Authorized Treatment of Program Income

ADDITIONAL COSTS

29. Grants Management Officer - Signature

Sr. Renee Carruthers
Grants Management Officer

30. Remarks

See Remarks (continuation)



Award# 90NWC50019-01-00

FAIN# 90NWC50019

Federal Award Date: 04/22/2021

Recipient Information
Recipient Name AGING, CALIFORNIA DEPARTMENT OF 1300 National Dr Ste 200 Sacramento, CA 95834-1992 9169284641DUP
Congressional District of Recipient 03
Payment Account Number and Type 1680301973A1
Employer Identification Number (EIN) Data 680301973
Universal Numbering System (DUNS) 949087076
Recipient's Unique Entity Identifier Not Available
31. Assistance Type Cooperative Agreement
32. Type of Award Demonstration

33. Approved Budget (Excludes Direct Assistance)	
I. Financial Assistance from the Federal Awarding Agency Only	
II. Total project costs including grant funds and all other financial participation	
a. Salaries and Wages	\$0.00
b. Fringe Benefits	\$0.00
c. Total Personnel Costs	\$0.00
d. Equipment	\$0.00
e. Supplies	\$0.00
f. Travel	\$0.00
g. Construction	\$0.00
h. Other	\$0.00
i. Contractual	\$1,562,836.00
j. TOTAL DIRECT COSTS	\$1,562,836.00
k. INDIRECT COSTS	\$0.00
l. TOTAL APPROVED BUDGET	\$1,562,836.00
m. Federal Share	\$1,562,836.00
n. Non-Federal Share	\$0.00

34. Accounting Classification Codes					
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
1-299CDAD	90NWC5001901C5	ACL-CIP	41.45	\$1,562,836.00	75-2124-0943



Department of Health and Human Services

Administration For Community Living

Notice of Award

Award# 90NWC50019-01-00

FAIN# 90NWC50019

Federal Award Date: 04/22/2021

Remarks (Continuation)

This Notice of Award provides one-time funding to improve vaccination access for Older adults and people with disabilities in the United States in response to Coronavirus Disease 2019 (COVID-19), as outlined in the Coronavirus Response and Relief Supplemental Appropriations Act, 2021, P.L. 116-260. Additionally, as provided for in Office of Management and Budget Memorandum M-21-20 – Administrative Relief for Recipients and Applicants of Federal Financial Assistance Directly Impacted by the Novel Coronavirus (COVID-19). This award is issued as “new” by reference only for the purposes of reporting & tracking; all funds awarded with a C5 suffix in the Document number must be accounted for separately in grantee accounting systems. Programmatically, the work funded under this award is a continuation of the NWC3 in scope and purpose.



35. Terms And Conditions

STANDARD TERMS

1. Payments - This award is paid by DHHS Payment Management System (PMS). Please go to <https://pms.psc.gov/> for access, payment, reporting and training information.
2. Award Acceptance - Initial expenditure of funds by the grantee constitutes acceptance of this award. Any future support is subject to the availability of funds and programmatic priorities. If an initial payment is not requested within 30 business days of the project start date Contact your Federal Project Officer or Grants Management Specialist to provide a reason(s) for the inactivity.
3. Matching Requirements - Grantees must provide the match listed in section 11n of the NOA in accordance with the program requirements and what was listed within the application submitted for this award.
4. Grants Policy - This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award. This includes requirements in Parts I and II of the HHS GPS (available as a pdf at this link <https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgps107.pdf>).
5. Trafficking - This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <https://acl.gov/grants/managing-grant>
6. Salary Limitation: Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 75, directly apply to this award apart from any coverage in the HHS GPS. Also, the general provisions from “**Consolidated Appropriations Act, 2020** (Public Law 116-94), signed into law on December 20, 2019, apply to this award and can be found on the ACL Website: <https://acl.gov/grants/managing-grant>

The General Provisions in the Consolidated Appropriations Act, 2021, Public Law 116-260, includes provisions for a salary rate limitation. The law limits the salary amount that may be awarded and charged to ACL grants and cooperative agreements. Award funds may not be used to pay the salary of an individual at a rate in excess of Executive Level II. The Executive Level II salary of the Federal Executive Pay scale is \$199,300. This amount reflects an individual’s base salary exclusive of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts under an ACL grant or cooperative agreement. Note that these or other salary limitations will apply in FY 2021, as required by law.

7. Whistle Blower - Grantees are hereby given notice that the 48 CFR section 3.908, implementing section 828, entitled “Pilot Program for Enhancement of Contractor Whistleblower Protections,“ of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2013 (Pub. L. 112-239, enacted January 2, 2013), applies to this award. The effective date is for all grants and contracts issued on or after July 1, 2013, through January 1, 2017.
8. DOMA - All grantees are expected to recognize any same-sex marriage legally entered into in a U.S. jurisdiction that recognizes their marriage, including one of the 50 states, the District of Columbia, or a U.S. territory, or in a foreign country so long as that marriage would also be recognized by a U.S. jurisdiction. This applies regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. However, this does not apply to registered domestic



partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage. Accordingly, recipients must review and revise, as needed, any policies and procedures which interpret or apply Federal statutory or regulatory references to such terms as “marriage,” “spouse,” family,” “household member” or similar references to familial relationships to reflect inclusion of same-sex spouse and marriages. Any similar familial terminology references in HHS statutes, regulations, or policy transmittals will be interpreted to include same-sex spouses and marriages legally entered into as described herein.

9. Federal Awardee Performance and Integrity Information System (FAPIIS) - If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available.

10. FFATA and FSRS Reporting -The Federal Financial Accountability and Transparency Act (FFATA) requires data entry at the FFATA Subaward Reporting System located at <http://www.FSRS.gov> for all sub-awards and sub-contracts issued for \$25,000 or more as well as addressing executive compensation for both grantee and sub-award organizations. Additional guidance is located at 2 CFR part 170, Appendix A: https://www.ecfr.gov/cgi-bin/text-idx?SID=4edce6c3622672ed3a317ebce66ff4cc&mc=true&node=ap2.1.170_1330.a&rgn=div9

11. Telecommunications: Effective August 13, 2020, in accordance with 2 CFR 200.216, “Prohibition on certain telecommunications and video surveillance services or equipment.”

(a) As described in CFR 200.216, recipients and subrecipients are prohibited to obligate or spend grant funds (to include direct and indirect expenditures as well as cost share and program) to:

(1) Procure or obtain, (2) Extend or renew a contract to procure or obtain; or (3) Enter into contract (or extend or renew contract) to procure or obtain equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in Pub. L. 115-232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).

i. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).

ii. Telecommunications or video surveillance services provided by such entities or using such equipment.

iii. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise, connected to the government of a covered foreign country.



12. Religious Character: In accordance with Federal laws prohibiting discrimination under 2 C.F.R. § 200.300, grantees may not condition sub-awards of Federal grant money in a manner that would disadvantage sub grantees/recipients based on their religious character.

13. Grants Management Module - ACL discretionary grantees are required to use the Grants Management Module (GMM) for their end to end grants management services (tracking and receiving various award actions, submitting financial and progress reports, general correspondence, requests etc.). The grantee authorizing official identified in box 10a., and grantee project director identified in box 9b., must ensure they are registered with GMM and have the appropriate role assigned to them by their organization. Please follow the GMM grantee account registration information located at the following URL: <https://www.grantsolutions.gov/support/registration.html>. If you are unable to register or have questions associated with registration, contact your Grants Management Specialist (GMS).

14. Closeout Requirements – A final Federal Financial Report (SF-425), a Property Inventory and Disposition Statement, and a final Project Report are due within ninety (90) days after the expiration of the project period of the Notice of Award. Submit all reports as a “note” using an authorized Grants Management Module account.

15. Overlapping Projects - Grantees with overlapping projects must be specifically cautious that approved costs on any budget, including match or cost share, is not also included on any other federally financed program in either the current or a prior period.

REPORTING REQUIREMENTS

1. Grant recipients that receive funding from Administration of Community Living (ACL) are required to submit the FFR in the Payment Management System (PMS).

Financial Reporting – Quarterly, annual and final financial reports (SF 425) are required.

1. Quarterly Reporting - Grantees are required to complete the federal cash transactions portion of the SF-425, lines 10 a through c within the Payment Management System for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of the award. Each due 30 days following the end of the quarter.
2. Annual Reporting – This report is cumulative. The first report reflects the initial 12 month period. The report is due 30 days after the conclusion of each 12 month period. For example, awards issued on 9/1, the report period ends on 8/31 the following year and is due on 9/30. Cash accounts and expenditures must be reconciled for each reporting period.
3. Final Report - A Final report is due 90 days after the expiration date of the project period.

Program Progress Reporting - Program Progress Reports are due semi-annually (within 30 days following each six-month period), effective with the start date of the award. This report must be submitted as a “note” using an authorized GrantSolutions account.

STAFF CONTACTS

1. Please direct questions related to award negotiation, interpreting the fiscal or administrative requirements, policies or provisions to the GMS, **Sherlonda Blue** (202) 795-7310 or Sherlonda.Blue@acl.hhs.gov.